



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box SEQ

1636

In Re Application of:

) Art Unit: 1636

Westaway, et al.

) Our Ref. 37264.6.0

Serial No. 09/580,476

Filed: 26 May 2000

) I hereby certify that this correspondence is being:

For: BOX SEQUENCE
FLAVIVIRUS EXPRESSION AND
DELIVERY SYSTEM

) ☒ deposited with the United States Postal Service as first-
class mail in an envelope addressed to: Commissioner
of Patents and Trademarks, Washington, D.C. 20231
) ☐ facsimile transmitted to the Patent and Trademark
Office
) ☐ hand delivered to the Patent and Trademark Office

To: Assistant Commissioner for Patents
Washington, D.C. 20231

on this 13 day of July 2001

By *Modeler E. Thompson*

**SUBMISSION OF "SEQUENCE LISTING," COMPUTER READABLE COPY,
AND/OR AMENDMENT PERTAINING THERETO FOR
BIOTECHNOLOGY INVENTION CONTAINING NUCLEOTIDE
AND/OR AMINO ACID SEQUENCE**

RECEIVED

JUL 20 2001

Remarks:

TECH CENTER 1600/2900

This sequence listing is being submitted in compliance with 37 CFR §1.821 through §1.825 in regard to the above stated application. The sequence listing was prepared using the PatentIn version 3.0 software and was deemed to be in compliance as per a series of recent e-mail and phone communications between Mr. Brian Weisenberg of our office and Mr. Robert Wax, Special Projects examiner in the Search and Information Resources Administration of the United States Patent and Trademark Office.

IDENTIFICATION OF DECLARANT

2. I, Philip M. Goldman, state the following:

ITEMS BEING SUBMITTED

3. Submitted herewith is/are

A. ☒ "Sequence Listing(s)" for the nucleotide and/or amino acid sequence(s) in this application. Each "Sequence Listing" is assigned a separate identifier as required in 37 CFR § 1.821(c) and §§ 1.822 and 1.823.

B. ☐ An amendment to the description and/or claims, wherein reference is made to the sequence by use of the assigned identifier, as required in 37 CFR § 1.821(d).

C. ☒ A copy of each "Sequence Listing" submitted for this application in computer readable form, in accordance with the requirements of 37 CFR §§ 1.821(e) and 1.824.

D. ☐ Please transfer to this application, in accordance with 37 CFR § 1.821(e), the computer readable copy(ies) from applicant's other application identified as follows:

In re application of:

Serial No. ___/_____

Group No. _____

Filed:

Examiner: _____

For:

The computer readable form(s) of applicant's other application corresponds to the "Sequence Identifier(s)" of the application as follows:

Computer Readable Form
(other application)

"Sequence Identifier"
(this application)

E. ☒ A statement that the content of each "Sequence Listing" submitted and each computer readable copy are the same, as required in 37 CFR § 1.821(g).

☐ Because the statement is not made by a person registered to practice before the Office, the Statement is verified as required in 37 CFR § 1.821(b).

F. ☒ Because this submission is made in fulfilling the requirement under 37 CFR § 1.821(g), a statement that the submission includes no new matter.

☐ Because the statement is not made by a person registered to practice before the Office, the statement is verified, as required in 37 CFR § 1.821(g).

**STATEMENT THAT "SEQUENCE LISTING" AND
COMPUTER READABLE COPY ARE THE SAME AND/OR THAT
PAPERS SUBMITTED INCLUDES NO NEW MATTER
CERTIFICATION OR FEE**

4. I hereby state:

A. ☒ The computer readable form submitted in this application, is the same as the "Sequence Listing" to which it is indicated to relate on the paper copy, also submitted with this application, .

B. ☐ The computer readable form requested to be transferred from applicant's other application, Serial No: _____, is the same as the "Sequence Listing" to which it is indicated to relate on the paper copy submitted with this application

C. ☒ All papers accompanying this submission, or for which a request for transfer from applicant's other application, introduce no new matter.

VERIFICATION

5. ☒ I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

STATUS

6. Applicant is
- ☒ a small entity. A verified statement:
- ☐ is attached.
- ☒ was already filed.
- ☐ other than small entity.

EXTENSION OF TERM

7. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply.
- a. ☐ Applicant petitions for an extension of time under 37 CFR 1.136 (fees: 37 CFR 1.17(a)(1)-(4) for the total number of months

checked below:

Extension (months)	Fee for other than small entity	Fee for small entity
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 390.00	\$195.00
<input type="checkbox"/> three months	\$ 890.00	\$445.00
<input type="checkbox"/> four months	\$1,390.00	\$695.00
	Fee	\$

If an additional extension of time is required, please consider this a petition therefor.

a. ☐ An extension for _____ months has already been secured and the fee paid therefor of \$_____ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$_____

OR

b. ☐ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

FEE PAYMENT

8. ☐ Attached is a ☐ check ☐ money order in the sum of \$_____

☐ Authorization is hereby made to charge Deposit Account 061910 in the amount of \$_____.

☐ to Credit card as shown on the attached credit card information authorization form PTO-2038.

☐ Charge any additional fees required by this paper or credit any

overpayment in the manner authorized above.

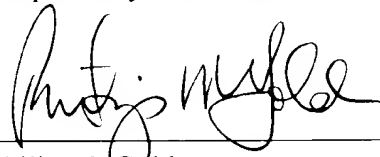
A duplicate of this paper is attached.

FEE DEFICIENCY

9. ☒ If any extension and/or fee is required, please charge Account
061910.

☐ to Credit card as shown on the attached credit card information
authorization form PTO-2038.

Respectfully submitted,



Philip M. Goldman
Registration No. 31,162
Fredrikson & Byron, P.A.
1100 International Centre
900 Second Avenue South
Minneapolis, MN 55402-3397
(612) 347-7088

Dated: _____

13 JULY 2001